FORM-I

REGISTER OF PERIODS OF CLOSER OF TRADING WINDOW

Sl. No.	Purpose for which Trading Window closed	Startdate of closure of Trading Window	Date of opening Trading Window	Date of notifying closure/opening of Trading Window	Remarks
	Stranger for	General Long Long	r-troped tree		
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	elsonels chares	nin ka seriler			

Note: Capitalized terms used in this Form-I, unless defined herein, shall have the same meaning assigned to them in this Code.

FORM-II

APPLICATION FOR PRE-CLEARANCE OF TRADING IN SECURITIES OF THE COMPANY

To
The Compliance Officer
COAL INDIA LIMITED
10 N.S.ROAD
KOLKATA – 700 001

Dear Sir.

Pursuant to the SEBI (Prohibition of Insider Trading) Regulations, 2015, as amended, and the Company's Code of Internal Procedures and Conduct for Prevention of Insider in Securities ("Code"), I seek approval for purchase/ sale/subscription of the Securities of the Company (give description) as per the details given below:

Name, Designation & Emp. No.:

Department:

Date of becoming the Designated Person:

Sl.No.	No. of Securities held (including the Securities held by Immediate Relative as on the date of application)	Folio No. / DP ID & Client ID	Nature of new transaction for which approval is sought	Estimated number of Securities to be dealt
1	2	3 week with	n 100,000 4 170 9 310	0 2 11 0 5
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1000		pasenus essert a paga	Dames Mobile M. Sto.	IE [] COME (U

Estimated consideration value	Whether proposed transaction under self name or by Immediate Relative	Name of Immediate Relative, if transaction is made by Immediate Relative	Date of purchase / allotment	Previous approval no. and date of purchase/ allotment
6	7	8	9	10

		Y-1	
			2
3	11-18.8(03		

UNDERTAKING

In this connection I solemnly confirm and declare that:

- a) I do not have access and/or have not received any "Unpublished Price Sensitive Information" up to the time of signing the undertaking;
- b) in case I have access to or receive Unpublished Price Sensitive Information after the signing of the undertaking but before the execution of the transaction, I shall inform the Compliance Officer of any change in my position and that I shall refrain from Trading till the time such information becomes accessible to public on a non-discriminatory basis;
- c) I have not contravened the provisions contained in this Code and in the Code of Practices and Procedures for Fair Disclosure of Unpublished Price Sensitive Information as notified by the company from time to time.
- d) I shall hold the Securities of the Company for a minimum holding period as specified under Clause 8.6 of this Code.
- e) I undertake to submit the necessary report within 2 (two)working days of execution of the transaction/a "Nil" report, after the expiry of 1 (one) week from the date of the preclearance approval, if the transaction is not undertaken.
- f) I am aware that, I shall be liable to face penal consequences as set forth in this Code including disciplinary action under this Code, in case the above declarations are found to be misleading or incorrect at any time.
- g) I hereby undertake not to transact in Securities of the Company in the sanctioned period in case Trading Window is declared closed subsequently during such sanctioned period.
- h) I hereby made a full and true disclosure in the matter.

Pre-clearance may kindly be accorded in terms of provisions of this Code.

Capitalized terms used herein, unless defined herein, shall have the same meaning assigned to them in this Code.

Date	Signature
Place	Name & Designation

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FOR OFFICE USE

Serial number of the application received:
Date & time of receipt of the application:
Date & time of communication of the pre-clearance or otherwise:
Reasons for not giving pre-clearance:
Signature of the Compliance Officer/ Authorised Officer

FORM-III

LETTER OF INTIMATION OF PRE-CLEARANCE

Name				
Employee No				
Designation		•		,

Dear Sir,

With reference to your above application seeking approval for undertaking certain transactions in Securities of the Company detailed therein, please be informed that you are hereby authorised/ not authorised to undertake the transaction(s) as detailed in your said application. Kindly note that in terms of the Code of Internal Procedures and Conduct for Prevention of Insider Trading ("Code") of the Company, the above mentioned transaction is to be completed within 7 (seven) days from the date of this letter granting the pre-clearance.

This approval is being issued to you based on the various declarations, representations and warranties made by you in your said application. This approval letter is valid till _____ (i.e. for 1 (one) week). If you do not execute the approved transaction/ Trade on or before this date you would have to seek fresh pre-clearanceapproval before executing any transaction in the Securities of the Company/ Trading. Further, you are required to file the details of the executed transactions in the attached format within 2(two)working days from the date of execution of such transaction/ Trading. In case the transaction is not undertaken, you shall submit a "Nil" report after the expiry of 1 (one) week from the date of this approval.

Kindly also note that the Securities of the Company transacted pursuant to this approval shall be held for a minimum holding period as specified under Clause 8.6 of this Code. This approval will automatically stand withdrawn if subsequently the Trading Window is declared closed involving the period for which such approval has been granted by this letter.

For & on behalf of

COAL INDIA LIMITED

Compliance Officer/ Authorised Officer

Note: Capitalized terms used in this Form-III, unless defined herein, shall have the same meaning assigned to them in this Code.

FORM-IV

REGISTER FOR PRE-CLEARANCE OF TRADING

SI. No.	Name and designation			Nature of transaction	No. of Securities and consideration value	Name of Immediate Relative, if any, transaction in name of such Immediate Relative
1	2	3	4	5	6	7
					1000	C = C. Valada HUzi

Date of communication	Reason for non- clearance, if not cleared	Number of Securities	Remarks
of clearance by the Compliance		actually traded if	kieft Your Approval letter No. Desety hitera - enther I
Officer	equation of the Countrary	intimated	uklikokluis, nori ppu svadi 🤏
8 77 2 77 10 17 10 7	9	10	11 usablostifigand svad *
	(uinh mann)	to well b	amilaed sa fematalasab

Note: Capitalized terms used in this Form-IV, unless defined herein, shall have the same meaning assigned to them in this Code.

FORM-V

FORMAT FOR DISCLOSURE OF PRE-APPROVED TRANSACTIONS

(To be submit Company/ Tra		2 (two) working	ng days of transac	tion in the Secu	intres of the
Date:	<u>ie i aniteks)</u>				
To					
The Complian	ce Officer				
COAL INDIA	LIMITED				
10 N.S.ROAD					
KOLKATA -	700 001				
Dear Sir,					
DETAILS O	F PRE-APP	ROVED TRAN	ISACTION		
			dated		
I hereby infor			(apper)	a case and back back	
			any Securities of th	e Company	
• have	hought/sold	subscribed to	the Secu	rities of the Co	mpany (give
descri	ntion) as me	entioned below	on (i	insert date)	7 (8
uescri	ption) as in	chilohed below	OII(/		
	First of joint holder	No. of Securities dealt with	Bought/sold/subscribe	DP ID/ Client ID (electronic form) or Folio No. for	Price
A. (8)(1)				physical where the Securities will be debited or credited	

In connection with the aforesaid transaction(s), I hereby undertake to preserve, for a period of 5 (five) years and produce to the Compliance Officer/SEBI any of the following documents:

- 1. Broker's contract note
- 2. Proof of payment to/ from brokers
- 3. Extract of bank passbook/statement (to be submitted in case of demat transactions).
- 4. Copy of delivery instruction slip (applicable in case of sale transaction)

I declare that the above information is correct and that no provisions of the Company's Code of Internal Procedures and Conduct for Prevention of Insider Trading in Securities

("Code") and/or applicable laws/ regulations have been contravened for effecting the above said transaction(s).

I agree to hold the above Securities of the Company for minimum holding period as specified under Clause 8.6 of this Code. In case there is any urgent need to sell these Securities of the Company within the said minimum period of holding, I shall approach the Company (Compliance Officer) for necessary approval (applicable in case of purchase / subscription).

Yours truly,				
Signature:_		8102II	Piti.	
Name:	12.	35 10 y		
Emp No.:				
Dept/Div.				

• Strike out whichever is not applicable.

Note: Capitalized terms used in this Form-V, unless defined herein, shall have the same meaning assigned to them in this Code

FORM-VI

REGISTER FOR WAIVER OF RESTRICTION ON "HOLDING PERIOD"

Sl.No	Nam	Designatio	Departmen	Name of	No. of	Consideratio	Reaso	Date	Remark
•	e	n	t	the	Securitie	n value	n of	of	S
				Immediat	S		waiver	waive	
				e Relative,				r	
	-			if the				51	
				securities					
				held in the					bing No.
				name of					
				the					
				Immediat					
				e Relative					
1	2	3	4	5	6	7	8	9	10
						1911	1 - 1 - 1 - 1 - 1		

Note: Capitalized terms used in this Form-VI, unless defined herein, shall have the same meaning assigned to them in this Code.

FORM-TIL

FORM A

SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7 (1) (a) read with Regulation 6 (2) – Initial disclosure to the company]

Name of the company:

Date:

(M. Viewananthin),

BOY place: A home Million

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	ch persons as m	Partitions being	1180,003 (200) - 1	1 11 41 1	F	0.6
CI	me, PAN, N/DIN & dress with ntact nos.	Category of Person (Promoters/ K)	MP coming in		ate of regulation	% of Shareholding
		Directors/imm ate relative to/others etc)	Type of se	curity (For eg. – arrants, Convert s etc.)		
	45.45.47.48.18.15.15.15.15.15.15.15.15.15.15.15.15.15.			- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1		
of De	Insider Trading	' shall have the new shall have	015. in derivative	es of the com	pany held by	Promoter, Key
of De	Insider Trading etails of Open anagerial Person	shall have the notations, 20 Regulations, 20 Interest (OI) Innel (KMP), I	in derivative	ned under regul	ation 2(1)(i) of S	EBI (Prohibition
of De M 6(:	Insider Trading etails of Open anagerial Pers 2)	shall have the new Property Property (OI)	in derivative birector and o	ned under regulates of the compather such person	ation 2(1)(i) of S	Promoter, Key d in Regulation tracts held as on
Of De M 6(2) Or the	etails of Open anagerial Pers 2) Den Interest of te date of regula Ontract Decifications	Interest (OI) onnel (KMP), I	in derivative pirector and of cts held as on force	ned under regulars of the companies of the companies of the companies of the date of regularity contract	pany held by ons as mentione of the Option Conclusion coming in	Promoter, Key d in Regulation tracts held as on

Designation:

Page 2 of 7



SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (1) (b) read with Regulation 6(2) – Disclosure on becoming a director/KMP/Promoter]

Name of the company:		1.5			
	- 17		E d		
ISIN of the company:			Jan K] B-81	

Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

Name, PAN,	Category of	Date of	Securities held at t	he time of	% of
CIN/DIN &	Person	appointment of	becoming		Shareholding
Address with	(Promoters/		Promoter/appointn	nent of	
contact nos.	KMP /	OR Date of	Director/KMP		
	Directors/imm	becoming		<u> </u>	
	ediate relative	Promoter	Type of security	No.	
,	to/others etc.)		(For eg Shares,	B 11	
	美 6		Warrants,	臣 喜	
		1 1	Convertible	F 8	,
			Debentures etc.)	= =	
1	2	3	4	5	6

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of Open Interest (OI) in derivatives of the company held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

	f the Future contr ng Promoter/appoi		Open Interest of time of becomin Director/KMP	the Option Contr g Promoter/appoi	racts held at the intment of
Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms		Number of units (contracts * lot size)	Notional value in Rupee terms
7	8	9 ·	10	11	12

Note: In case of Options, notional value shall be calculated based on premium plus strike price of options

Name & Signature:

Designation:

Date:

Place:

FORMC

SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]

Form A

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Mode of	intimation acquistion /	maposar (on	company market puone	nghts/	preferential	oller / Oll	markey mich-sc	transler, EDOFS	erc.)	216			75					14
Date of allotment Date of Mode of	incimation	3	company			157	43				. I to	20	a r			ly)		. 13
Morment	4	00.00		hares		To	15	VX		nts.					l (s ZeT	STATE OF THE STATE	H	12
Date of	advice/	acquisition of	spares/	sale of shares	specify	From								00	1,00	bà	A	11
Securities acquired/Disposed Securities held post	disposal					Valu Transact Type of No. and % From	Jo	(For eg. shareholdi	ng									10.
Securities	acquisition/disposal					Type of	security of	(For eg.	- Shares, ng	Warrants	•	Revoke/ Converti	ple	Debentur	es etc.)	- - 		6
isposed						Transact	ion	Type	(Buy/	Sale/	Pledge/	Revoke/	Invoke) ble					~
ired/L					11.0	Valu	<u>ه</u>	7 (11)	IRA	31]	do	173	13	riu i		ďи		7
s acqu					1219 1119	No.			91		to i	7 Y						9
Securitie	lan	012				Type of No.	security	(For eg.		Shares,	Warran	ts,	Convert	ible	Debent	ures	etc.)	٧
held	996	v/dispo				TTY.	% pur	of	shareh	olding								A
Categor Securities held	prior to	acquisition	sal	lsand	nia	Director Type of No.	s/immed security and %	(For eg.	relative - Shares, shareh	to/other Warrants olding		Converti	ble	Debentur	es etc.)			7
Categor	y of	CIN/DIN, Person acquisition/dispo	(Promot	ers/	KMP/	Director	s/immed	iate	relative	to/other	s etc.)	, st			- 1(0-			
Name,	PAN,	CIN/DIN,	& address (Promot sal	with	contact	nos.				,				t be	- 1 - 7			-

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

	Trading in derry	srivatives (Specify	type of contract, 1	vatives (Specify type of contract, Futures or Options etc)	etc)	Exchange on which the trade was executed
Type of contract	Contract	Bi	Buy		Sell	2
		Notional Value	Number of units (contracts * lot size)	Votional Value Number of units Notional Value Number of units (contracts * lot size)	Number of units (contracts * lot size)	MANAGE OF THE STATE OF THE STAT
31	16	17	18	19	20	21

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

...

Name & Signature:

Designation:

Date:

Place:

4

Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature:

Date:

Designation:

Place:

Details of trading in derivatives by other connected persons as identified by the company

	- ;			i
Exchange on which the trade was executed	nte.	COA	21	
s etc)	Sell	Notional Value Number of units Notional Value Number of units (contracts * lot size)	20	17 18 19 17
Futures or Option		Notional Value	01	19
ratives (Specify type of contract, Futures or Options etc.)	Å	Number of units (contracts * lot	3776	18
derivatives (Specify	Buy	Notional Value		17
Trading in deriva	Contract	specifications		.16
Á	Type of	1 1 17-1		15

Note: In case of Options, notional value shall be calculated based on premium plus strike price of op

Name:

Signature:

Place:
